



The Institute of
Internal Auditors
Indonesia

IIA TRAINING GLOBAL ONDEMAND

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TRAINING
ONDEMAND

COURSE LIST



AUDIT FUNDAMENTALS

Assurance Engagement Communications | 2 CPEs
Audit Evidence and Workpapers | 1.5 CPEs
Audit Interviews | 1.2 CPEs
Audit Report Quality | 1 CPEs
Auditing Grants – Public Sector | 2 CPEs
Auditing Third-party Risk | 1.5 CPEs
CAATs, Data Mining, and Other Internal Audit Activities | 1 CPEs
Conducting the Assurance Engagement | 3 CPEs
COSO-based Auditing: Auditing for Control Activities | 1 CPEs
COSO-based Auditing: Overview | 1 CPEs
COSO-based Auditing: Risk Assessment | 1 CPEs
Data Analysis Overview | 1 CPEs
Data Sampling | 1 CPEs
Defining Populations for Data Analytics | 1 CPEs
Ethics for Internal Auditors | 1 CPEs
FCPA: Anti-corruption Overview | 1 CPEs
Introduction to Internal Auditing | 1.5 CPEs
Introduction to the Engagement Process | 1 CPEs
Lean Six Sigma Overview for Internal Audit | 1 CPEs
Liquidity Risk Management | 1 CPEs
Root Cause Analysis Tools and Techniques | 1 CPEs
The Consulting Engagement | 2 CPEs



AUDIT MANAGEMENT

Breaking Down the Standards for the CAE | 1.5 CPEs



FRAUD

Assessing Fraud Risks | 1 CPEs



GOVERNANCE, RISK, AND CONTROL

Auditing Culture | 3 CPEs
Auditing IT Governance | 1.8 CPEs
CGAP Domain I: Standards, Governance, and Risk and Control Frameworks | 2 CPEs
CGAP Domain II: Government Auditing Practice | 2.5 CPEs
CGAP Domain III: Government Auditing Skills and Techniques | 2.5 CPEs
CGAP Domain IV: Government Auditing Environment | 2 CPEs
Governance Overview | 1.5 CPEs
Internal Controls | 1 CPEs
Risk Management | 2 CPEs



SOFT SKILLS

Communication Basics | 1 CPEs
Organizational Political Pressure | 1 CPEs



TECHNOLOGY

Application Controls | 1.5 CPEs
Application Security | 1 CPEs
Audit Recovery Processes | 1 CPEs
Auditing Systems in Development | 1 CPEs
End-user Computing | 1 CPEs
Logical Security: Application, Database, and Operating System Layers | 1.5 CPEs
Logical Security: The Network Layer | 1.5 CPEs
Understanding and Auditing Big Data | 1.5 CPEs





AUDIT FUNDAMENTALS



Assurance Engagement Communications

About this Course

The primary focus of this course is to provide you with an overview of assurance engagement communications.

Learning Objective(s):

- Identify the reasons for communicating assurance engagement outcomes.
- Identify the different forms of assurance engagement communications.
- Identify the steps involved in creating an effective assurance engagement communication.
- Identify the distribution process for effectively communicating assurance engagement outcomes.
- Identify what is involved in effective monitoring of, and follow-up on, assurance engagement outcomes.

CPE Hours Available	: 2.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Formats(s)	: Self-Study
Category	: Audit Fundamentals
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: <ul style="list-style-type: none">• Conducting the Assurance Engagement• Introduction to the Engagement Process• The Consulting Engagement



Duration : 2h



Price : \$ 110.00

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

The IIA is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its

website: www.learningmarket.org.





Audit Evidence & Workpapers

About this Course

The primary focus of this course is to provide you with an overview of methods for gathering and evaluating audit evidence and characteristics of well-prepared workpapers.

Learning Objective(s):

- Identify the methods to gather and evaluate sufficient, appropriate audit evidence.
- Identify the manual procedures used by internal audit to gather audit evidence.
- Identify selected Computer-Assisted Audit Techniques (CAATs), including generalized audit software.
- Identify the characteristics of well-prepared audit workpapers.

CPE Hours Available	: 1.5
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Formats(s)	: Self-Study
Category	: Audit Fundamentals
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: N/A



Duration : 1h 30m



Price : \$ 80.00

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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Audit Interviews

About this Course

Internal auditors' engagement planning and execution relies in part on information gathered through audit interviews. This course will establish the importance of effective interviewing skills. Additionally, the phases of an interview will be discussed and effective interview techniques will be demonstrated.

Learning Objective(s):

- Identify the importance of effective interviewing skills.
- Identify the phases of an interview and their importance to a successful interview.
- Identify techniques for conducting an effective interview.

CPE Hours Available	: 1.2
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Communications & Marketing
Who will Benefits	: Senior and Staff Auditors, Audit Managers, and Directors
Delivery Formats(s)	: Self-Study
Category	: Audit Fundamentals
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: <ul style="list-style-type: none">• Conducting the Assurance Engagement• Assurance Engagement Communications• The Consulting Engagement• Communication Basics
Browser Requirements	: <ul style="list-style-type: none">• Google Chrome (optimal)• Mozilla Firefox• Safari 4



Duration : 1h



Price : \$ 55.00

Course Expiration:

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website: www.learningmarket.org.





Audit Report Quality

About this Course

The primary focus of this course is to provide you with an overview of best practices and strategies for internal audit reports.

Learning Objective(s):

- Identify best practices and strategies that ensure audit reports are accurate, objective, clear, concise, constructive, and complete.

CPE Hours Available	: 1.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Formats(s)	: Self-Study
Category	: Audit Fundamentals
Author	: The IIA
Audito/Video	: None
Supplementary Course(s)	: N/A



Duration : 1h



Price : \$ 55.00

Course Expiration:

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Auditing Grants - Public Sector

About this Course

Grants are commonly referred to as financial or non-financial contributions, which are awarded to an individual or enterprise and supports a specific cause. Because of the non-exchange nature of grants, oversight and the role of internal audit is critical to ensure legitimate and effective utilization of the awarded resources.

This course provides a comprehensive overview of the grants administration process and the responsibilities assumed by the parties involved. The course also outlines the chronological flow of activities that take place in the various phases of the grants administration and management process. The role of internal audit is defined, and details of the audit approach during an engagement are provided. Finally, the content describes the use of a risk and control matrix as part of the risk assessment process, which identifies risks associated with grant transactions.

Learning Objective(s):

- Differentiate between grants management and grants administration.
- Assimilate the grants process and how it relates to the grantor and grantee.
Discern the phases of grant management and administration.
- Recognize critical risks associated with grants management and administration.
- Recognize the internal auditor's role in assisting organizations to effectively manage and administer grants.
- Discern key grant stakeholders for grantees and grantors.
- Differentiate outputs and outcomes of grant programs.

CPE Hours Available	: 2.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: CAE/Director, Internal Audit Manager, Staff/Senior Auditor
Delivery Format(s)	: Self-Study
Category	: Audit Fundamentals
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: <ul style="list-style-type: none">• Ethics for Internal Auditors• Internal Controls• Auditing Culture
Browser Requirements	: <ul style="list-style-type: none">• Google Chrome (optimal)• Mozilla Firefox• Safari 4



Duration : 2h



Price : \$ 110.00

Course Expiration:

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Auditing Third Party Risk

About this Course

Using third parties invariably presents a variety of risks for organizations, including strategic, reputational, regulatory, operational, financial, transactional, security, compliance, and other risks. However, when utilized effectively, third parties can also provide tremendous value in terms of specialized knowledge, increased capacity, reduced overhead, and more customized business solutions. Internal audit should be at the front of managing the risks associated with third parties by independently reviewing, evaluating, and reporting on the related business practices. This course provides an overview on third-party risk management, including governance structure and risk management processes. It also specifies contracting, monitoring, and contract termination elements of the third-party relationship. Finally, the content defines the role of internal audit as it relates to various phases of the third-party management audit engagement, including planning, defining scope and objectives, testing, and reporting.

Learning Objective(s):

- Recognize the elements and attributes of third-party risk management.
- Recognize risks and controls associated with contracting third parties.
- Recognize the areas where internal audit can monitor third parties.
- Differentiate types of third-party risk management governance structures.
- Differentiate key elements of Type 1 and Type 2 assurance reports for the operation of critical third-party organizations.
- Differentiate the evaluation criteria for engagements of third parties.
- Understand third-party due diligence policies and procedures.
- Understand the testing phase and the need to determine the essential criteria element(s) for evaluating the organization's third-party risk management framework and process.

CPE Hours Available	: 1.8	Browser	: • Google Chrome (optimal)
Knowledge Level	: Basic	Requirements	• Mozilla Firefox
Advance Preparation	: None	(Desktop/Laptop)	• Safari 4
NASBA	: Yes		
Field(s) of Study	: Auditing		
Who will Benefits	: CAE/Director, Internal Audit Manager, Staff/Senior Auditor, IT Auditor in private and public companies, non-profit and charitable organizations, and Government agencies		
Delivery Formats(s)	: Self-Study		
Category	: Audit Fundamentals		
Author	: The IIA		
Audito/Video	: None		
Prerequisite(s)	: None		
Supplementary Course(s)	: • Introduction to Internal Auditing • Internal Controls • Introduction to the Engagement Process		



Duration : 1h 30m



Price : \$ 100.00

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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CAATs, Data Mining, and Other Internal Audit Activities

About this Course

The primary focus of this course is to provide you with an overview of CAATs, data mining, and other internal audit activities.

Learning Objective(s):

- Understand CAATs: their broad capabilities and uses, general techniques, and the most widely used tools.
- Identify the need for more comprehensive management of business risks and be able to apply industry-specific trends to your organization.
- Articulate the concepts that underlie data mining.
- Understand key areas outside of audit, where data mining is beneficial.
- Understand and articulate the documentation requirements that are specific to data analytic activities and CAATs.
- Reinforce your familiarity with applicable guidance.

CPE Hours Available	: 1.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Formats(s)	: Self-Study
Category	: Audit Fundamentals
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: <ul style="list-style-type: none">• Data Analysis for Internal Auditors• Data Sampling



Duration : 1h



Price : \$ 55.00

Course Expiration:

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Conducting the Assurance Engagement

About this Course

The primary focus of this course is to provide you with an overview of conducting an assurance engagement. This course describes the various steps necessary to conduct a controls-focused assurance engagement. You will learn the key steps necessary to plan and perform the assurance engagement.

Learning Objective(s):

- Identify the reasons as to how the purpose of an assurance engagement impacts the audit objectives.
- Identify engagement objectives and scope statements.
- Identify different types and sources of information that will help the internal auditor understand the process of conducting an assurance engagement.
- Identify simple process flows used to show key process steps, interfaces, and the departments involved.
- Identify the differences between key controls and controls that are not considered key.
- Identify the steps used to evaluate the design adequacy of process-level controls.

CPE Hours Available	: 3.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Formats(s)	: Self-Study
Category	: Audit Fundamentals
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: <ul style="list-style-type: none">• Introduction to the Engagement Process• The Consulting Engagement• Assurance Engagement Communications



Duration : 3h



Price : \$ 165.00

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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COSO Based Auditing: Auditing for Control Activities

About this Course

The primary focus of this course is to provide you with an introduction to auditing for control activities.

Learning Objective(s):

- Identify the types of control activities.
- Recognize how entity-specific factors can impact control activities.
- Define the process for identifying key controls.
- Define control objectives.
- Explain the importance of segregation of duties.
- Identify internal control deficiencies.
- Recognize how the effectiveness of control design is evaluated.

CPE Hours Available	: 1.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Format(s)	: Self-Study
Category	: Audit Fundamentals
Author	: The IIA
Audito/Video	: Some
Prerequisite(s)	: None
Supplementary Course(s)	: <ul style="list-style-type: none">• COSO Based Auditing: Overview• COSO Based Auditing: Risk Assessment



Duration : 1h



Price : \$ 55.00

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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website: www.learningmarket.org.





COSO Based Auditing: Overview

About this Course

The primary focus of this course is to provide you with an introduction to COSO based auditing.

Learning Objective(s):

- Identify the objectives, components, and principles in the COSO Framework.
- Identify the relationship between governance, risk management, and internal control.
- Identify the importance of an organization developing objectives.
- Identify the links between objectives, components, and principles in maintaining an effective system of internal control.

CPE Hours Available	: 1.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Format(s)	: Self-Study
Category	: Audit Fundamentals
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: • COSO Based Auditing: Risk Assessment • COSO Based Auditing: Auditing for Control Activities



Duration : 1h



Price : \$ 55.00

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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COSO Based Auditing: Risk Assessment

About this Course

The primary focus of this course is to provide you with an overview of risk assessment in COSO based auditing.

Learning Objective(s):

- Identify the objectives, components, and principles in the COSO Framework.
- Describe risk appetite and risk tolerance.
- Explain the difference between inherent risk and residual risk.
- Recognize the COSO Framework concepts of likelihood and impact in determining risk significance.
- Apply risk assessment concepts to a risk assessment scenario.

CPE Hours Available	: 1.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Format(s)	: Self-Study
Category	: Audit Fundamentals
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: • COSO Based Auditing: Overview • COSO Based Auditing: Auditing for Control Activities



Duration : 1h



Price : \$ 55.00

Course Expiration:

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Data Analysis Overview

About this Course

The primary focus of this course is to provide you with an introduction to data analysis.

Learning Objective(s):

- Understand and differentiate data analytics from other methods of audit evidence collection and testing techniques.
- Articulate the benefits and challenges of using data analytics.
- Describe the nature and potential application of data analytics in an audit to support other internal audit activities.
- Identify examples of analytical testing in common business process areas.
- Recognize the relevant professional guidance to support this topic.
- Utilize documentation guidance when employing data analytics.

CPE Hours Available	: 1.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Formats(s)	: Self-Study
Category	: Audit Fundamentals
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: <ul style="list-style-type: none">• Defining Populations for Data Analytics• Data Sampling



Duration : 1h



Price : \$ 55.00

Course Expiration:

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Data Sampling

About this Course

The primary focus of this course is to provide you with an introduction to data sampling.

Learning Objective(s):

- Distinguish the various means of selecting items for audit testing.
- Understand risk-related terms associated with audit sampling.
- Relate audit sampling to the audit phases.
- Define the various sampling methods.
- Select an appropriate sampling method based on the audit objective and the nature of the desired conclusions

CPE Hours Available	: 1.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Formats(s)	: Self-Study
Category	: Audit Fundamentals
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: <ul style="list-style-type: none">• Data Analysis for Internal Auditors• CAATs, Data Mining, and Other Internal Audit Activities



Duration : 1h



Price : \$ 55.00

Course Expiration:

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website: www.learningmarket.org.





Defining Populations for Data Analytics

About this Course

The primary focus of this course is to provide you with an introduction to defining populations for data analytics.

Learning Objective(s):

- Define population and its implication for data analytics.
- Understand potential boundaries that define a population.
- Differentiate between populations and subsets of populations.
- Consider risk information in sub-dividing populations to arrive at testable subsets.
- Understand what anomalies are and how they are found using general scripts with populations and with subsets of populations.

CPE Hours Available	: 1.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Format(s)	: Self-Study
Category	: Audit Fundamentals
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: • Data Sampling • Data Analysis for Internal Auditors



Duration : 1h



Price : \$ 55.00

Course Expiration:

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Ethics for Internal Auditors

About this Course

The primary focus of this course is to provide you with an overview of ethics and how the Code of Ethics, Principles, and Rules of Conduct apply to internal audit.

Learning Objective(s):

- Describe the Code of Ethics.
- Define the Principles and Rules of Conduct to apply The IIA Code of Ethics.
- Define the Seven Attributes of Ethical Internal Auditors.
- Recognize how blind spots expose internal auditors to ethical lapses.
- Apply the Code of Ethics to ethical decisions.

CPE Hours Available	: 1.0	Browser Requirements	: • Google Chrome (optimal)
Knowledge Level	: Basic		• Mozilla Firefox
Advance Preparation	: None	(Desktop/Laptop)	• Safari 4
NASBA	: Yes		
Field(s) of Study	: Behavioral Ethics		
Who will Benefits	: Staff Auditors, Audit Managers, and Senior Audit Managers		
Delivery Formats(s)	: Self-Study		
Category	: Audit Fundamentals		
Author	: The IIA		
Audito/Video	: Some		
Prerequisite(s)	: None		
Supplementary Course(s)	: • FCPA: Anti-Corruption Overview		



Duration : 1h



Price : \$ 55.00

Course Expiration:

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FCPA: Anti-Corruption Overview

About this Course

The primary focus of this course is to provide you with an overview of the U.S. Foreign Corrupt Practice Act (FCPA) and the U.K. Bribery Act (UKBA).

Learning Objective(s):

- Articulate the primary legislation around anti-corruption and define key terms.
- Better understand the variety of resources available and be able to distinguish between them.
- Explore the current landscape to increase auditor awareness.
- Understand the various auditor considerations for audits of anti-corruption.

CPE Hours Available	: 1.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Formats(s)	: Self-Study
Category	: Audit Fundamentals
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: • Ethics for Internal Auditors



Duration : 1h



Price : \$ 55.00

Course Expiration:

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Introduction to Internal Auditing

About this Course

The primary focus of this course is to provide you with the fundamental concepts and knowledge you need to succeed in the internal audit profession.

Learning Objective(s):

- Identify the value proposition that stakeholders expect from the internal audit function.
- Identify the role of The IIA in the internal audit profession.
- Identify the various career opportunities in the internal audit field.
- Identify the structure and core elements of the International Professional Practices Framework® (IPPF®).
- Identify some of the frameworks used in the practice of internal auditing.
- Identify the main phases of the internal audit process.

CPE Hours Available	: 1.5
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Formats(s)	: Self-Study
Category	: Audit Fundamentals
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: • N/A



Duration : 1h 30m



Price : \$ 80.00

Course Expiration:

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Introduction to the Engagement Process

About this Course

The primary focus of this course is to provide you with an introduction to the engagement process.

Learning Objective(s):

- Identify the types of engagements internal auditors perform.
- Identify the key activities involved in planning and performing an assurance engagement and reporting the engagement outcomes.
- Identify the differences between the consulting engagement process and the assurance engagement process.

CPE Hours Available	: 1.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning auditors
Delivery Formats(s)	: Self-Study
Category	: Audit Fundamentals
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: <ul style="list-style-type: none">• Conducting the Assurance Engagement• The Consulting Engagement• Assurance Engagement Communications



Duration : 1h



Price : \$ 55.00

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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Lean Six Sigma Overview for Internal Audit

About this Course

The primary focus of this course is to provide you with an overview of Lean Six Sigma for internal audit.

Learning Objective(s):

- Define the purpose of Lean Six Sigma.
- Correlate the Lean Six Sigma process improvement model (DMAIC) to the five phases of an internal audit.
- Describe the relationship between Lean Six Sigma process improvement methodology and the International Professional Practices Framework (IPPF).
- Identify common Lean Six Sigma tools.

CPE Hours Available	: 1.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Formats(s)	: Self-Study
Category	: Audit Fundamentals
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: • Ethics for Internal Auditors



Duration : 1h



Price : \$ 55.00

Course Expiration:

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Liquidity Risk Management

About this Course

This course will describe internal audit's role in the world of liquidity risk management. Liquidity is a measure of the ability and ease with which assets can be converted to cash. To remain viable, a financial institution must have enough liquid assets to meet its near-term obligations. Internal audit plays a vital role in ensuring compliance with the Basel III regulatory framework, which was adopted by the Federal Reserve to ensure adequate capital in the banking system. This course helps learners to gain an understanding of Liquidity Risk Management (LRM), Basel III regulatory requirements, and the role of banking supervisors. It also provides details on the impacts of Three Lines of Defense over the LRM framework and governance in financial institutions. Finally, the course describes various liquidity-specific aspects of an internal audit engagement, including audit planning and risk assessment.

Learning Objective(s):

- Recognize the elements of Basel III.
- Recognize the elements and considerations of liquidity risks.
- Summarize internal audit's role with liquidity risk management.
- Recognize elements of an organization's asset and liability committee.
- Discern how liquidity risk appetite and risk tolerance shape the internal audit engagement.
- Differentiate the purpose and roles of each part of the three lines of defense.
- Assimilate the elements of LRM methodology.
- Recognize methods of evaluating liquidity risks during an engagement.

CPE Hours Available	: 1.5	Browser	: • Google Chrome (optimal)
Knowledge Level	: Basic	Requirements	• Mozilla Firefox
Advance Preparation	: None	(Desktop/Laptop)	• Safari 4
NASBA	: Yes		
Field(s) of Study	: Auditing		
Who will Benefits	: CAE/Director, Internal Audit Manager, Staff/Senior Auditor, IT Auditor in private and public companies, non-profit and charitable organizations, and Government agencies		
Delivery Formats(s)	: Self-Study		
Category	: Audit Fundamentals		
Author	: The IIA		
Audito/Video	: None		
Prerequisite(s)	: None		
Supplementary Course(s)	: • Introduction to Internal Auditing • Internal Controls • Introduction to the Engagement Process		

**Duration : 1h****Price : \$ 80.00**

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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Root Cause Analysis Tools and Techniques

About this Course

Root cause analysis (RCA) is a technique for finding the core causes for problems an organization may face. Auditors can use a variety of identification and measurement tools for determining the root cause of the issues they analyze. (This course includes tools that can be downloaded and used for RCA. They are included in the Zip file under the Training Materials.

Learning Objective(s):

- Identify controls testing and business process analysis concepts.
- Identify root cause identification and measurement.
- Identify common tools for root cause analysis as they relate to internal auditing.

CPE Hours Available	: 1.0	Browser Requirements	: • Google Chrome (optimal) • Mozilla Firefox • Safari 4
Knowledge Level	: Basic	(Desktop/Laptop)	
Advance Preparation	: None		
NASBA	: Yes		
Field(s) of Study	: Auditing		
Who will Benefits	: Senior and Staff Auditors, Audit Managers, Directors, Vice Presidents (VPs), or Chief Audit Executives (CAEs)		
Delivery Formats(s)	: Self-Study		
Category	: Audit Fundamentals		
Author	: The IIA		
Audito/Video	: None		
Prerequisite(s)	: None		
Supplementary Course(s)	: • Audit Report Quality • Audit Evidence and Workpapers • Introduction to the Engagement Process • Conducting the Assurance Engagement • Assurance Engagement Communications		



Duration : 1h



Price : \$ 55.00

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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The Consulting Engagement

About this Course

The primary focus of this course is to provide you with an overview of the consulting engagement.

Learning Objective(s):

- Identify the differences between assurance and consulting engagements.
- Identify the process used during engagements to blend both assurance and consulting components.
- Identify the various types of consulting services provided by internal auditors.
- Identify the process that internal audit functions use when selecting which requested consulting engagements to perform.
- Identify the process for conducting an advisory consulting engagement.
- Identify the benefits to an organization when the internal audit function provides consulting services.
- Identify the ways that the internal audit function can provide insight to stakeholders through the performance of consulting engagements.
- Identify the reasons for determining customer expectations for consulting activities.
- Identify the Standards as they pertain to consulting engagements.
- Identify the reasons that the internal audit function sets boundaries for consulting activities.

CPE Hours Available	: 2.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Formats(s)	: Self-Study
Category	: Audit Fundamentals
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: <ul style="list-style-type: none">• Conducting the Assurance Engagement• Introduction to the Engagement Process• Assurance Engagement Communications



Duration : 2h



Price : \$ 110.00

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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AUDIT MANAGEMENT



Breaking Down the Standards for the CAE

About this Course

This course explores the International Standards for the Professional Practice of Internal Auditing (Standards) with a focus on how its content relates to, and impacts, the pivotal role of chief audit executives in ensuring conformance with the Standards. It offers a deconstruction of the standards along with implementation guidance and descriptions of the implications specific to the CAE for implementing the Standards to achieve conformance. Finally, the course discusses the importance of the quality assurance and improvement plan (QAIP) and makes recommendations on how to address challenges with the standards.

Learning Objective(s):

- Recognize the contribution the Standards and the Code of Ethics make.
- Assimilate the purpose and elements of the Standards.
- Describe the Standards that specifically apply to CAEs.
- Discern the Code of Ethics, including the Principles and Rules of Conduct.
- List criteria for assessing conformance with the Standards and Code of Ethics.
- Evaluate the importance of a Quality Assurance and Improvement Program (QAIP).
- Quantify the challenges to conforming with the Standards, and strategies for enhancing conformance.

CPE Hours Available	: 1.5
Knowledge Level	: Intermediate
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: CAEs and Directors of Internal Audit
Delivery Formats(s)	: Self-Study
Category	: Audit Fundamentals
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: Basic understanding of the IPPFt
Supplementary Course(s)	: • Organizational Political Pressure
Browser Requirements (Desktop/Laptop)	: • Google Chrome (optimal) • Mozilla Firefox • Safari 4

**Duration : 1h 30m****Price : \$ 80.00**

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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FRAUD

FRAUD



IIA TRAINING GLOBAL ONDEMAND



Assessing Fraud Risks

About this Course

The internal audit activity is responsible for assessing the organization's risk management processes and their effectiveness, and one detail that cannot be overlooked is assessing the potential for the occurrence of fraud when planning each engagement. Therefore, internal auditors must consider the probability of fraud and ensure adequate review of the risks relevant to each engagement. This course describes the characteristics of fraud and the process of identifying and assessing fraud risks when planning individual audit engagements. It also outlines the process of incorporating a fraud risk assessment into engagement planning, including the topics of gathering information, brainstorm fraud scenarios, identifying and rating fraud risks, and determining which fraud risks should be evaluated further during the engagement.

Learning Objective(s):

- List the steps and purpose of the assurance engagement.
- Describe how to incorporate the fraud risk assessment steps during an assurance engagement.
- Restate the role of internal audit when assessing fraud risks and implementing controls.
- Categorize fraud risk controls as detective or preventive.
- Differentiate the characteristics, significance, and sources of common fraud risks.
- Recognize the requirements of Internal Audit to be in conformance with the Standards related to fraud.

Determine the optimal communication method for reporting results of an engagement.

CPE Hours Available	: 1.0	Browser	: • Google Chrome (optimal)
Knowledge Level	: Basic	Requirements	• Mozilla Firefox
Advance Preparation	: None	(Desktop/Laptop)	• Safari 4
NASBA	: Yes		
Field(s) of Study	: Auditing		
Who will Benefits	: CAE/Directors, Internal Audit Manager, Staff/Senior Auditor, IT Auditor		
Delivery Formats(s)	: Self-Study		
Category	: Fraud		
Author	: The IIA		
Audito/Video	: None		
Prerequisite(s)	: None		
Supplementary Course(s)	: • Risk Fraud and Illegal Acts: Overview • Risk Fraud and Illegal Acts: Executing the Principles • Assurance Engagement Communications		



Duration : 1h



Price : \$ 55.00

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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GOVERNANCE, RISK, AND CONTROL



Auditing Culture

About this Course

An organization's culture, whether at a family-run convenience store or a multi-national corporation, can present vexing challenges. It exerts significant influence on how the organization operates and can quickly erode governance and risk management efforts when it goes awry. Yet, culture and its influences are often ignored, overlooked, or misunderstood.

IIA President and CEO Richard F. Chambers, CIA, QIAL, CGAP, CCSA, CRMA and former IIA Managing Director of CAE Solutions Doug Anderson, CIA, CRMA, team up to provide a comprehensive examination of organizational culture; approaches, guidelines, and strategies on auditing culture and communicating conclusions; and insights into the crucial role internal audit can play in helping stakeholders understand culture's influence and importance.

Learning Objective(s):

- Recognize the essence and implications of culture.
- Perceive the emerging mandate to audit culture.
- Differentiate effective strategies for auditing culture.
- Recognize practical considerations for internal audit.

CPE Hours Available	: 3.0	Browser	: • Google Chrome (optimal)
Knowledge Level	: Intermediate	Requirements	• Mozilla Firefox
Advance Preparation	: None	(Desktop/Laptop)	• Safari 4
NASBA	: Yes		
Field(s) of Study	: Business Management & Organization		
Who will Benefits	: CAE, Audit Director, Audit Manager, Senior Manager, Senior and Staff Auditors, Subject Matter Experts, Audit Committees and Boards		
Delivery Formats(s)	: Self-Study		
Category	: Governance, Risk, and Control		
Author	: The IIA		
Audito/Video	: Some		
Prerequisite(s)	: At least 2 years' general audit experience		
Supplementary Course(s)	: • FCPA: Anti-Corruption Overview		
	• Code of Ethics Conformance		
	• The Standards of Internal Audit		

**Duration : 3h****Price : \$ 165.00**

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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website: www.learningmarket.org.





Auditing IT Governance

About this Course

It is important for organizations to take a strategic approach to implementing IT governance. Effective IT governance contributes to the efficiency and effectiveness of controls, and allows the organization to realize the value and benefits that IT investments can provide. This course will provide information that assists internal auditors in providing assurance services over IT governance.

Learning Objective(s):

- Identify the importance of IT governance.
- Identify the relationship between organizational governance and IT governance.
- Identify the five areas of a sample IT governance framework.
- Identify the desired outcomes and challenges of implementing an IT governance framework.
- Identify internal audit's role in IT governance.
- Identify the components of IT governance.
- Identify the key risks and risk assessment considerations associated with IT governance.
- Identify the engagement planning steps for IT governance.
- Identify controls that should be tested when performing an engagement.

CPE Hours Available	: 1.8	Browser	: • Google Chrome (optimal)
Knowledge Level	: Basic	Requirements	• Mozilla Firefox
Advance Preparation	: None	(Desktop/Laptop)	• Safari 4
NASBA	: Yes		
Field(s) of Study	: Business Management & Organization		
Who will Benefits	: CAE, Audit Director, Audit Manager, Senior and Staff Auditors, Subject Matter Experts, and Audit Committees or Board		
Delivery Formats(s)	: Self-Study		
Category	: Governance, Risk, and Control		
Author	: The IIA		
Audito/Video	: None		
Prerequisite(s)	: None		
Supplementary Course(s)	• Governance Overview • Auditing Systems in Development • Internal Controls • Logical Security: Application, Database, and Operating System Layers • Risk Management • Logical Security: The Network Layer • Application Controls • End User Computing • Application Security		

**Duration : 3h****Price : \$ 165.00**

Course Expiration:

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CGAP Domain I: Standards, Governance, and Risk and Control Frameworks

About this Course

Domain I discusses the role, impact, and application of standards, the role of governance, and the frameworks for internal control and risk management, as they relate to public sector auditing.

Learning Objective(s):

- Identify the role, impact, and application of standards.
- Identify the role of governance in the public sector and its uniqueness.
- Identify the frameworks for internal control and risk management.

CPE Hours Available	: 2.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Experienced Auditors
Delivery Formats(s)	: Self-Study
Category	: CIA and Other Exam Prep
Author	: Thomas F. O'Connor and The IIA
Audito/Video	: Some
Prerequisite(s)	: None
Supplementary Course(s)	: • N/A



Duration : 2h



Price : \$ 140.00

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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CGAP Domain II: Government Auditing Practice

About this Course

Domain II covers management of the auditing function, the various types of auditing services, and the processes for effective delivery of those auditing services in public sector auditing.

Learning Objective(s):

- Identify how to manage the audit function.
- Identify types of audit services.
- Identify processes for effective delivery of audit services.

CPE Hours Available	: 2.5
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Experienced Auditors
Delivery Formats(s)	: Self-Study
Category	: CIA and Other Exam Prep
Author	: Thomas F. O'Connor and The IIA
Audito/Video	: Some
Prerequisite(s)	: None
Supplementary Course(s)	: • N/A



Duration : 2h 30m



Price : \$ 140.00

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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CGAP Domain III: Government Auditing Skills and Techniques

About this Course

Domain III focuses on management, performance measurement, and program evaluation in public sector auditing. It also covers quantitative and qualitative techniques, as well as data collection and analytical skills.

Learning Objective(s):

- Identify audit management concepts and techniques.
- Identify performance measurement and program evaluation criteria.
- Identify quantitative and qualitative techniques.
- Identify data collection techniques.

CPE Hours Available	: 2.5
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Experienced Auditors
Delivery Formats(s)	: Self-Study
Category	: CIA and Other Exam Prep
Author	: Thomas F. O'Connor and The IIA
Audito/Video	: Some
Prerequisite(s)	: None
Supplementary Course(s)	: • N/A



Duration : 2h 30m



Price : \$ 140.00

Course Expiration:

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CGAP Domain IV: Government Auditing Environment

About this Course

Domain IV discusses performance and financial management, the various implications of delivery methods and delivering services, and the unique purchasing and procurement requirements of auditing in the public sector.

Learning Objective(s):

- Identify principles of performance and financial management.
- Identify implications of service delivery methods.
- Identify purchasing and procurement requirements.

CPE Hours Available	: 2.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Experienced Auditors
Delivery Formats(s)	: Self-Study
Category	: CIA and Other Exam Prep
Author	: Thomas F. O'Connor and The IIA
Audito/Video	: Some
Prerequisite(s)	: None
Supplementary Course(s)	: • N/A



Duration : 2h



Price : \$ 140.00

Course Expiration:

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Governance Overview

About this Course

The primary focus of this course is to provide you with an introduction to Governance.

Learning Objective(s):

- Identify the definition of governance.
- Identify the different roles and responsibilities within governance.
- Identify the different enterprise-wide governance principles.
- Identify the changes in regulations and how governance has evolved into its present state.
- Identify the role of the internal audit function in the governance process.
- Identify where to find information about governance codes and regulations from countries around the world.

CPE Hours Available	: 1.5
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Formats(s)	: Self-Study
Category	: Governance, Risk, and Control
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: <ul style="list-style-type: none">• Risk Management• Internal Controls



Duration : 1h 30m



Price : \$ 80.00

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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Internal Controls

About this Course

The primary focus of this course is to provide you with an overview of internal controls.

Learning Objective(s):

- Identify the objectives, components, and principles of an effective internal control framework.
- Identify the roles and responsibilities each group in an organization has regarding internal controls.
- Identify the different types of controls and the appropriate application for each of them.

CPE Hours Available	: 1.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Formats(s)	: Self-Study
Category	: Governance, Risk, and Control
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: • N/A



Duration : 1h



Price : \$ 55.00

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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Risk Management

About this Course

This course describes risk management in detail, discussing key risk management elements and principles, as well as the various roles and responsibilities. Other illustrations will be provided to depict, in greater detail, how one might envision the key elements of risk management. The course ends with discussions about how the internal audit function can play an integral role in risk management. The specific roles of the internal audit function are discussed, as is the impact risk management may have on the internal audit plan.

Learning Objective(s):

- Identify the definition of risk and enterprise risk management.
- Identify the different dimensions of the COSO ERM Framework.
- Identify the different dimensions of ISO 31000:2009(E): Risk Management – Principles and guidance.
- Identify the relationship between governance and enterprise risk management.
- Identify the different roles the internal audit function can play in enterprise risk management.
- Identify the impact of enterprise risk management on internal audit activities.

CPE Hours Available	: 2.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Format(s)	: Self-Study
Category	: Governance, Risk, and Control
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: • Governance Overview • Internal Controls

**Duration : 1h****Price : \$ 55.00**

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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SOFT SKILL



Communication Basics

About this Course

The primary focus of this course is to provide you with an overview of communication and the importance of communication during engagements.

Learning Objective(s):

- State the components of a basic communication model.
- Indicate ways in which communication breaks down in a basic communication model.
- Recall the three channels of information dissemination.
- Recall the three different channels through which we disseminate information.
- Select the different ways to effectively listen during communication.
- Identify the communication principles.
- Recall common communication barriers.
- List common Standards related to communication.

CPE Hours Available	: 1.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Communications and Marketing
Who will Benefits	: Beginning Auditors
Delivery Formats(s)	: Self-Study
Category	: Soft Skill
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: • N/A



Duration : 1h



Price : \$ 55.00

Course Expiration:

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Organizational Political Pressure

About this Course

Organizations are, by definition, political. They are comprised of people and those people have different different goals, objectives, value systems, approaches to accomplishing objectives, and motivating reward systems. All of these things can impact internal audit if you're not careful and aware of the existence of the pressures involved. This course was developed utilizing data collected from the book, Politics of Internal Auditing, and will provide information about what political pressure looks like, how to deal with it, and share with you lessons learned by others who have experienced political pressure.

Learning Objective(s):

- Define political pressure.
- Describe the nature of political pressure as it relates to internal audit.
- Illustrate the differences between a warranted discussion and political pressure based on the political pressure continuum.
- Describe typical forms of political pressure.
- Assess the extent of political pressure based on IIA research.
- Explain key factors in minimizing and avoiding political pressure.
- Describe leading practices implemented to deal with political pressure.

CPE Hours Available	: 1.0	Browser	: • Google Chrome (optimal)
Knowledge Level	: Basic	Requirements	• Mozilla Firefox
Advance Preparation	: None	(Desktop/Laptop)	• Safari 4
NASBA	: Yes		
Field(s) of Study	: Personal Development		
Who will Benefits	: CAE, Internal Audit Manager, and Internal Audit Director		
Delivery Format(s)	: Self-Study		
Category	: Soft Skill		
Author	: The IIA		
Audito/Video	: None		
Prerequisite(s)	: None		
Supplementary Course(s)	<ul style="list-style-type: none"> • Ethics for Internal Auditors • Governance Overview 		

**Duration** : -**Price** : \$ 55.00

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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TECHNOLOGY



Application Controls

About this Course

The primary focus of this course is to provide you with an introduction to IT application controls.

Learning Objective(s):

- Identify the concept of input, processing, output, and interface controls as they relate to IT audit.
- Identify application control concepts necessary for performing an audit of application controls that support business processes.

CPE Hours Available	: 1.5
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Information Technology
Who will Benefits	: Staff Auditors, Audit Managers, and Senior Audit Managers with a focus on IT skills
Delivery Formats(s)	: Self-Study
Category	: Technology
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: <ul style="list-style-type: none">• Auditing Recovery Processes• Auditing Systems in Development• End User Computing• Logical Security: Application, Database, and Operating System Layers• Logical Security: The Network Layer



Duration : 1h 30m



Price : \$ 80.00

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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Application Security

About this Course

The primary focus of this course is to provide you with an introduction to IT application security concepts.

Learning Objective(s):

- Identify the concepts of audit trails and application security as they relate to IT audit.
- Identify application security control concepts necessary for performing an audit of application security controls that support business processes.

CPE Hours Available	: 1.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Information Technology
Who will Benefits	: Staff Auditors, Audit Managers, and Senior Audit Managers with a focus on IT skills
Delivery Formats(s)	: Self-Study
Category	: Technology
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: <ul style="list-style-type: none">• Application Controls• Auditing Recovery Processes• Auditing Systems in Development• End User Computing• Logical Security: Application, Database, and Operating System Layers• Logical Security: The Network Layer
Browser Requirements (Desktop/Laptop)	: <ul style="list-style-type: none">• Google Chrome (optimal)• Mozilla Firefox• Safari 4

**Duration : 1h****Price : \$ 55.00**

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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Audit Recovery Processes

About this Course

The primary focus of this course is to provide you with an overview of audit recovery processes.

Learning Objective(s):

- Identify key business recovery concepts including business continuity management and disaster recovery.
- Identify the phases in developing a business continuity plan.
- Identify four solutions that lead to business recovery.
- Identify backup processing concepts.
- Identify consulting and assessment activities as they relate to IT audit.

CPE Hours Available	: 1.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Formats(s)	: Self-Study
Category	: Technology
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: • N/A



Duration : 1h



Price : \$ 55.00

Course Expiration:

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Auditing Systems in Development

About this Course

The primary focus of this course is to provide you with an overview of auditing systems in development.

Learning Objective(s):

- Identify the concepts of a system development life cycle.
- Identify key organizational roles in system development projects.
- Identify the phases within a system development life cycle.
- Identify reasons why system development projects fail.
- Identify project failures and reasons for successful outcomes of system development life cycle projects.
- Identify reasons to audit system development projects and the concepts of pre- and post-implementation reviews as they relate to an IT audit.

CPE Hours Available	: 1.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Beginning Auditors
Delivery Formats(s)	: Self-Study
Category	: Technology
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: <ul style="list-style-type: none">• Auditing Recovery Processes• Application Controls• End User Computing• Logical Security: Application, Database, and Operating System Layers• Logical Security: The Network Layer



Duration : 1h



Price : \$ 55.00

Course Expiration:

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End-User Computing

About this Course

End-user Computing (EUC) describes both user-developed applications and user-acquired applications. Different types of risks exist in this space, including licensing and controls. Auditors need to make use of tests that vary with the type of end-user system to provide reasonable assurance.

Learning Objective(s):

- Identify end-user computing risks and controls as they relate to IT audit.
- Identify the difference between user-acquired and user-developed applications as they relate to end-user computing audits.
- Identify software licensing risks and controls associated with end-user computing audits.
- Identify backup processing concepts.
- Identify the audit procedures associated with end-user computing audits.

CPE Hours Available	: 1.0
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Senior and Staff Auditors, Audit Managers, and Directors
Delivery Formats(s)	: Self-Study
Category	: Technology
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: <ul style="list-style-type: none">• Auditing Recovery Processes• Application Controls• Auditing Systems in Development• Logical Security: Application, Database, and Operating System Layers• Logical Security: The Network Layer

**Duration : 1h****Price : \$ 55.00**

Course Expiration:

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Logical Security: Application, Database, and Operating System Layers

About this Course

When performing IT security audits, it is important to have an understanding of logical security controls at the application, database, and operating system levels. This course focuses on security control audit considerations at each of these levels.

Learning Objective(s):

Identify the following concepts, as they relate to IT audit:

- Application security controls
- Database security controls
- OS security controls

CPE Hours Available	: 1.5
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Staff Auditors Audit Managers Senior Audit Managers with a focus on IT skills
Delivery Formats(s)	: Self-Study
Category	: Technology
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: • Logical Security: The Network Layer



Duration : 1h 30m



Price : \$ 80.00

Course Expiration:

Upon purchase, the user has 30 days to complete this course.

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Logical Security: The Network Layer

About this Course

When performing IT security audits, it is important to have an understanding of logical security controls at the network level. This course focuses on security control audit considerations at this level.

Learning Objective(s):

- Identify network security controls.
- Identify network appliance security controls.

CPE Hours Available	: 1.5
Knowledge Level	: Basic
Advance Preparation	: None
NASBA	: Yes
Field(s) of Study	: Auditing
Who will Benefits	: Staff Auditors Audit Managers Senior Audit Managers with a focus on IT skills
Delivery Formats(s)	: Self-Study
Category	: Technology
Author	: The IIA
Audito/Video	: None
Prerequisite(s)	: None
Supplementary Course(s)	: • Logical Security: Application, Database, and Operating System Layers



Duration : 1h 30m



Price : \$ 80.00

Course Expiration:

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Understanding and Auditing Big Data

About this Course

Big data is a popular term used to describe the exponential growth and availability of data created by people, applications, and smart machines. The term is also used to describe large, complex data sets that are beyond the capabilities of traditional data processing applications. This course will provide internal auditors with an understanding of big data and the requisite knowledge of the assurance and consulting engagements related to big data. The course provides an overview of big data concepts and the elements contained in a big data program. It helps internal auditors understand their role in support of the organization's big data initiatives and the tools and technologies needed to support the program. Finally, the course elaborates on planning and performing an audit engagement by addressing key risks that should be considered when planning an audit of big data.

Learning Objective(s):

- Recognize the characteristics of big data.
- Describe the business benefits of big data.
- Discuss the elements of a big data program.
- Define the tools and technologies of a big data program.
- Summarize internal audit's role in big data.
- Recognize the engagement planning steps to perform an audit of big data.
- Explain the key risks related to big data.
- State controls that should be tested when performing a big data audit engagement.

CPE Hours Available	: 1.5	Browser	• Google Chrome (optimal)
Knowledge Level	: Basic	Requirements	• Mozilla Firefox
Advance Preparation	: None	(Desktop/Laptop)	• Safari 4
NASBA	: Yes		
Field(s) of Study	: Information Technology		
Who will Benefits	: CAE/Directors, Internal Audit Manager, Staff/Senior Auditor, IT Auditor		
Delivery Formats(s)	: Self-Study		
Category	: Technology		
Author	: The IIA		
Audito/Video	: None		
Prerequisite(s)	: None		
Supplementary Course(s)	: • Governance Overview		

**Duration : 1h 30m****Price : \$ 80.00**

Course Expiration:

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Select a course thumbnail from the Course Catalog to display the associated Course Description page. The course Duration is listed on the left-hand side, below the course title.

What are the recommended browser requirements?

To avoid display issues, we recommend that you access the OnDemand courses on a desktop or laptop using one of the following browsers:

- Google Chrome (optimal)
- Mozilla Firefox
- Safari 4

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